

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ram B. Gupta et al.  
Application No.: 09/698,368  
Filed: October 30, 2000  
For: NON-YELLOWING ORTHO-DIALKYL  
ARYL SUBSTITUTED TRIAZINE  
ULTRAVIOLET LIGHT ABSORBERS

§ Group Art Unit: 1714  
§ Examiner: Not Yet Known  
§ Docket: 00014-00 (318-332)  
§ Date: March 14, 2002  
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§  
§  
§

#8/Jan  
6/10/02

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.56 AND § 1.97**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the continuing duty of disclosure imposed by 37 CFR § 1.56 to inform the patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the document AL listed on the attached form PTO 1449. A copy of the reference is provided herewith. This document was cited in the International Search Report attached herewith.

Identification of the listed document is not to be construed as an admission of Applicants or Attorneys for Applicants that such reference is available as "prior art" against the subject application.

Applicants respectfully requests that the Examiner review the foregoing document and that the document be made of record in the file history of the application.

Pursuant to 37 CFR § 1.97(b), since this Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, Applicants believe no fee is due in connection with the filing of this Supplemental Information Disclosure Statement. However, should the Patent and Trademark Office determine otherwise, please charge the required fee to Deposit Account 03-4083.

Respectfully submitted,

  
James A. Jubinsky 42,700  
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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**

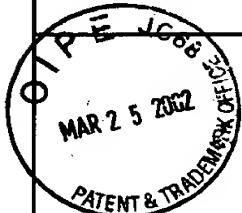
Applicant(s): R. Gupta, H. Singh, R. Cappadona, M. Paterna, A. Wagne

Docket No.

00014-00 (318-332)

Serial No.  
09/698,332Filing Date  
October 30, 2000Examiner  
Not Yet KnownGroup Art Unit  
1714

Invention: Non-Yellowing Ortho-Dialkyl Aryl Substituted Triazine Ultraviolet Light Absorbers

I hereby certify that this Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.56 and § 1.97  
(Identify type of correspondence)is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The  
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(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
00014-00 (318-332)

In Re Application Of: **Ram B. Gupta, Hargurpreet Singh, Russell C. Cappadona, Mark Paterna, Al Wagner**

Serial No.	Filing Date	Examiner	Group Art Unit
09/698,368	October 30, 2000	Not Yet Known	1714

**Title: NON-YELLOWING ORTHO-DIALKYL ARYL SUBSTITUTED TRIAZINE ULTRAVIOLET  
LIGHT ABSORBERS**



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**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);

**OR**

- the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
00014-00 (318-332)

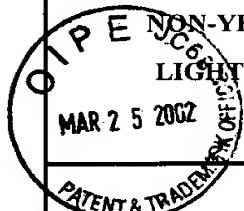
In Re Application: **Ram B. Gupta, Hargurpreet Singh, Russell C. Cappadona, Mark Paterna, Al Wagner**

Serial No.  
09/698,368

Filing Date  
October 30, 2000

Examiner  
Not Yet Known

Group Art Unit  
1714



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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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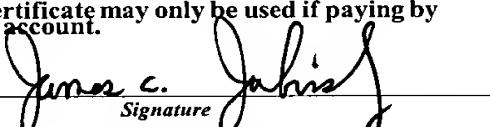
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\_\_\_\_\_  
Signature  
James A. Jubinsky  
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Dated: March 15, 2002

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